Reporting and Repair Deadlines and Examples

May 4, 2021

Reporting and Repair Deadlines

			Transaction/Order Events	Customer and Account Data
Event Occurs/Received Industry Member Data	Holiday	Initial Report Due (T+1)	Transaction Repair Due (T+3)	CAIS Repair Due (T+3)
Monday 14:20 PM ET	N/A	Tuesday 8:00 AM ET	Thursday 8:00 AM ET	Thursday 5:00 PM ET
Monday 23:40 PM ET	N/A	Wednesday 8:00 AM ET	Friday 8:00 AM ET	Friday 5:00 PM ET
Friday 11:00 AM ET	N/A	Monday 8:00 AM ET	Wednesday 8:00 AM ET	Wednesday 5:00 PM ET
Friday 16:02 PM ET	N/A	Monday 8:00 AM ET	Wednesday 8:00 AM ET	Wednesday 5:00 PM ET
Friday 16:02 PM ET	Next Monday	Tuesday 8:00 AM ET	Thursday 8:00 AM ET	Thursday 5:00 PM ET
Wednesday 15:00 PM ET	Thursday, Friday is half day	Friday 8:00 AM ET	Tuesday 8:00 AM ET	Tuesday 5:00 PM ET
Saturday 11:15 AM ET	N/A	Tuesday 8:00 AM ET	Thursday 8:00 AM ET	Thursday 5:00 PM ET
Saturday 11:15 AM ET	The Following Monday	Wednesday 8:00 AM ET	Friday 8:00 AM ET	Friday 5:00 PM ET
Monday 10:00 AM ET (holiday)	On the Event Date	Wednesday 8:00 AM ET	Friday 8:00 AM ET	Friday 5:00 PM ET

How to Ask a Question during Today's Call

• For participants using computer audio:

- Click the "Participants" button at the bottom of the app window, then the "Raise Hand" button at the bottom of the participants window.
- A visual prompt will indicate that your line has been unmuted.

• For participants using phone audio:

- Enter *9 on your phone keypad.
- An audio prompt will indicate that your line has been unmuted.